

## DEBARMENT ORDER

### DEBARMENT OF MR. MAURO FORLIN

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#### DEBARMENT ORDER IN TERMS OF SECTION 153(1) & (2) OF THE FINANCIAL SECTOR REGULATION ACT, NO. 9 OF 2017 (FSR ACT) ALTERNATIVELY SECTION 14A(1) OF THE FINANCIAL ADVISORY AND INTERMEDIARY SERVICES ACT, NO 37 of 2002 (FAIS ACT)

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With immediate effect, the Financial Sector Conduct Authority (Authority), in terms of section 153(1) and (2), prohibits **MR. MAURO FORLIN** a key individual, key person and representative, for a period of 30 years from:

- (a) providing, or being involved in the provision of, all financial products or financial services, defined in all financial sector laws for which the Authority is the responsible Authority under Schedule 2 of the FSR Act (section 153(2)(a) of the FSR Act);
- (b) acting as a key person of any financial institution as defined in the FSR Act (section 153(2)(b) of the FSR Act); and
- (c) providing services relating to financial products or financial services, as defined in the FSR Act, whether under outsourcing arrangement(s) or otherwise (section 153(2)(c) of the FSR Act).

#### ALTERNATIVELY

With immediate effect, the Authority in terms of section 14A of the FAIS Act, debars **MR. MAURO FORLIN** a key individual, key person and representative, for a period of 30 years from rendering financial services as defined in the FAIS Act.



**Gerhard van Deventer**  
Divisional Executive

**DATE OF NOTICE: 20 September 2024**